WILMINGTON UNIVERSITY

COLLEGE OF SOCIAL & BEHAVIORAL SCIENCES COURSE SYLLABUS

COURSE TITLE: Global Regulatory Law TERM:

COURSE NUMBER: LES 207/POL 335 CREDITS: 3

FACULTY:

METHOD OF CONTACT: Office: Office Hours: Email:

PREREQUISITES: ENG121, English Composition I (minimum GPA 2.0/C)

LEGAL SPECIALTY: X Yes No

45 TOTAL HOURS OF INSTRUCTION:

35 Contact Hours 10 Hours Structured External Assignment

ONLINE WEEK: Monday at 12 am to Sunday at 11:59 pm

TEXTBOOK: Shooner and Taylor (2010) Regulation of Global Banking: Principles and Policies. Academic Press, Elsevier. ISBN 977826410037

GETTING STARTED: Please review the entire syllabus before class begins, as this will give you the framework for how the course is structured. It helps to see where you are starting and where you are going! Please pay special attention to referenced Wilmington University policies.

I. COURSE DESCRIPTION:

This course provides an overview of the global regulatory landscape and issues arising cross borders, particularly with regard to the financial services industry. This course will also provide insight into the many opportunities available within the field of regulatory compliance. Emphasis is placed on the international regulatory environment, the role of regulators, application of laws and regulatory structure, the development and governance of the compliance function within organizations, risk managemæthical concerns, trends, and the conflicts presented when the laws and principles of multiple jurisdictions are in conflict.

II. IDEA OBJECTIVES

- 1. 1. Gaining factual knowledge (terminology, classifications, methods, trends, etc.).
- 2. 2. Developing specifc skills, competencies, and points of view needed by professionals in the field.

Syllabus is Sole operty of Wilmington University

Learning Outcomes: Students will be able to:

- C-1 Explain therole of a Global Compliance Professional.
- C-2 Describe the difference between best practices and regulatory requirements.
- C-3 Illustrate 'best practices' in business compliance and discuss their optimal application.
- C-4 Summarize an international regulation in the regulatory requirements, best practices and their purposes; recommend an approach.

GOAL D: Learn to effectively assess compliance risks and identify the tools needed to proactively solve problems and mitigate consequences when resolving conflicts between jurisdictional requirements, when a potential failure is identified, or when threatened with regulatory sanctions.

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Discussion Board/Voice Journals	20% (6 total)
Assignments	25% (6 Assignments)
Narrated PowerPoint	15%
Final Examination	<u> 25%</u>
TOTAL	100%

V. ASSIGNMENTS, PROJECTS & EXAMS:

Any student found guilty of academic dishonesty will be treated in accordance with the policies and procedures outlined in the Policies and Regulations section of the Wilmington University Student Handbook.

VI.

Class 7: Institutional Structures of Regulation; Global Financial Crisis; Compliance Opportunities; Interview **B**IIs; Networking

Please see Canvásr a more detailed description of the weekly activities.